

Form 97/98-1
(6/92)



Docket 010814

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: TANAAMI

Group Art Unit: 1744

Serial No.: 09/927,049

Examiner: Beisner

For: Apparatus for measuring the genetic sequence of biopolymers

INFORMATION DISCLOSURE STATEMENT

- ☐ 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
- ☒ 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

SIR:

The following are submitted in the above identified application in compliance with
37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation
or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☒ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
 - ☐ 8 The required certification made in item 11 below; or
 - ☒ 9 The ~~\$250.00~~ ^{\$180.00} fee specified in 37 CFR §1.17(p) for submission of this
Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR §1.97(d): [before issue fee payment]; and
 - a) This is a petition for consideration of the subject Information Disclosure Statement. The petition
fee (\$130.00) required by 37 CFR 1.17(l)(1) is authorized in item 14 below. (Direct this letter to:
"Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g.
"Allowed Files, Batch _____, Date of Allowance _____"), and
 - b) The required Certification is stated in item 11 below.

06/01/2005 NNGUYEN1 00000005 111500 09927049

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[]11 Certification

- []12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- []13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[XX]14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 11-1500. An original and two (2) copies of this document are enclosed.

Respectfully submitted,


Name MOONRAY KOJIMA

Reg. No. 19,785

ATTORNEY MOONRAY KOJIMA
Address BOX 627
WILLIAMSTOWN, MA 01267
Telephone 413-458-2880
Date 5/26/05

I hereby certify that the correspondence upon which this notice is placed is being deposited with the US Postal Service as first class mail in an envelope addressed to the Commissioner of Patents ~~Washington, D.C. 20231~~ Alexandria, VA 22313-1450 on the date set forth below. MOONRAY KOJIMA, ATTORNEY

DATE: 5/26/05



IN THE US PATENT OFFICE

EXAMINER _ Beisner

GROUP - 1744

SN - 09/927049

FILED - 8/9/01

BY - Tanaami

I hereby certify that the correspondence upon which this notice is placed is being deposited with the US Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, Box 1400 Alexandria, VA 22313, or to US Trademark Office, 2900 Crystal Drive, Arlington, VA 22202, on the date set forth below. MOONRAY KOJIMA, ATTORNEY

Date: 5/26/05 (signed)

STATEMENT UNDER RULE 1.97/8

Sirs:

Applicant hereby states the following references were cited by the EP, and have been carefully studied for relevance.

- (1) USP 5,632,957
- (2) USP 6,051,380
- (3) EP 1,120,626
- (4) WO 0138,432
- (5) R.G. SOSNOWSKI ET AL "Rapid determination of single base mismatch mutation in DNA hybrids by direct electric field control" Feb. 97, Proc. Natl Ac ad. Sci. US, Vol 94, pp 1119-1123.

We do not believe any of these are particularly relevant to the set of claims which are now in the application and submitted with the response dated concurrently herewith.

Entry of this statement is respectfully solicited.

respectfully

M. KOJIMA

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26 May 05

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09/927,049

Applicant

Tanaami

Filing Date

8/9/01

Group

1744

INFORMATION DISCLOSURE STATEMENT BY APPLICANT -
LIST OF ITEMS



(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5	6	3	2	9	5	7	5/27/97	Tu et al			
	AB	6	0	5	1	3	8	0	4/18/00	Nerenberg et al			
	AC												
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
														YES	NO
	AL	1	1	2	0	6	4	6	8/1/01		EUROPEAN PATENT				
	AM	0	1	3	8	4	3	2	5/31/01		PCT- WORLD TRADE ORGANIZATION				
	AN														
	AO														
	AP														

OTHER INFORMATION (Including Author, Title, Date, Pertinent)

AR	RG Sosnowski et al "Rapid Determination of single base mismatch mutation in DNA hybrids by direct electric field control" Feb.97, Proc. Natl Acad Sci USA
AS	Vol. 94, pp1119-1123.
AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.